UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE 1. CERTIFICATE NUMBER: 16-R-0003

CUSTOMER NUMBER: 49

FORM APPROVED OMB NO. 0579-0036

ANNUAL REPORT OF RESEARCH FACILITY (TYPE OR PRINT)

Wesleyan University Office Of Academic Affairs Middletown, CT 06459

OCT 2 3 2002

Telephone: (860) -685-2010

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary)

FACILITY LOCATIONS (Sites) - See Atached Listing

REPORT OF ANIMALS L	JSED BY OR UNDER C	ONTROL OF RESEAR	CH FACILITY (Attach additiona	al sheets if necessary or use APHIS Form 7023A)	
A. Animals Covered By The Animal Welfare Regulations	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not ye	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, a	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to	F. TOTAL NUMBER OF ANIMALS (COLUMNS C+D+E)
4. Dogs					
5. Cats					
6. Guinea Pigs			18 USEL		
7. Hamsters			ANIMAL		
8. Rabbits	1	NE	REU ANIMALS USEB		
9. Non-human Primate		MO CO			
10. Sheep					
11. Pigs					
12. Other Farm Animals					
13. Other Animals					
					e .

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anestetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL (Chief Executive Officer or Legally Responsible Institutional Official)						
SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL	NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print	DATE SIGNED				
Gudith C brown	Judith C. Brown Vice President for Academic Affairs	10/1/02				

See attached form for 0 CT 0.4 2002 additional information

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE 1. CERTIFICATE NUMBER: 16-R-0004

CUSTOMER NUMBER: 50

FORM APPROVED OMB NO. 0579-0036

ANNUAL REPORT OF RESEARCH FACILITY

(TYPE OR PRINT)

John B. Pierce Laboratory, Inc. 290 Congress Avenue New Haven, CT 06519

Telephone: (203) -562-9901

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, lesting, or experimentation, or held for these purposes. Attach additional sheets if necessary)

FACILITY LOCATIONS (Sites) - See Atached Listing

A. Animals Covered By The Animal Welfare Regulations	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not ye	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, leaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, a	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to	F. TOTAL NUMBER OF ANIMALS (COLUMNS C + D + E)
4. Dogs	0	0	0	0	0
5. Cats	0	0	0	0	0
6. Guinea Pigs	0	0	0	0	0
7. Hamsters	0	0	383	0	383
8. Rabbits	0	0	0	0	0
9. Non-human Primate	0	0	0	0	0
10. Sheep	0	0	0	0	0
11. Pigs	0	0	0	0	0
12. Other Farm Animals	0	0	0	0	0
13. Other Animals	0	0	0	0	0

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anestetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and

	I BY HEADQUARTERS RESEARCH FACILITY OFFICIAL ve Officer or Legally Responsible Institutional Official)	
SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL	NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print Lawrence E. Marks, Ph.D. Director, J.B. Pierce Laboratory	DATE SIGNED

PHIS/FORM 7023

(Replaces VS FORM 18-23 (OCT 88), which is obsolete.

See reverse side for additional information

Interagency Report Control No 0180-DOA-AN

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. REGISTRATION NO. 16-R-0007 CUSTOMER NO. 51

FORM APPROVED OMB NO. 0579-0036

ANNUAL REPORT OF RESEARCH FACILITY

(TYPE OR PRINT)

2. HEADQUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA, include Zip Code)

HARTFORD HOSPITAL 80 SEYMOUR STREET-P.O. BOX 5037 HARTFORD, CT 06102

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, teaching, or experimentation, or held for these purposes. Attach additional sheets if necessary.)

ANIMAL RESEARCH FACILITY
HARTFORD, CT 06102

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY (Attach additional sheets if necessary or use APHIS FORM 7023A)

Α.	B. Number of	C. Number of	D. Number of animals upon	E. Number of animals upon which teaching.	F.
Animals Covered By The Animal Welfare Regulations	animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs	which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report)	TOTAL NO OF ANIMAL (Cols. C + D + E)
4. Dogs		·	1		1
5. Cats					
6. Guinea Pigs					
7. Hamsters					
8. Rabbits	3		38		38
9. Non-Human Primates					
10. Sheep			5		5
11. Pigs	3		145		145
12. Other Farm Animals					
13. Other Animals					

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all the exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL (Chief Executive Officer or Legally Responsible Institutional official) I certify that the above is true, correct, and complete (7 U.S.C. Section 2143)					
SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL	NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print)	DATE SIGNED			
C. H. Nightingale, PhD	C. H. Nightingale, V.P. Research	12/16/2002			

This report is required by law (7 USC 2143). Failure to report according to the regulations can result in an order to cease and desist and to be subject to penalties as provided for in Section 2150.

See reverse side for additional information.

Interagency Report Control No. 0180-DOA-AN

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1, REGISTRATION NO. 16-R-0008

CUSTOMER NO. 52

FORM APPROVED (

ANNUAL REPORT OF RESEARCH FACILITY

(TYPE OR PRINT)

 HEADQUARTERS RESEARCH FACILITY (Name and Address as registered with USDA include Zip Code)

UNIVERSITY OF CONNECTICUT 352 MANSFIELD ROAD UNIT 2086 STORRS, CT 06269 (203) 486-3337

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, treaching, or experimentation, or held for these purposes. Attach additional sheets if necessary i

FACILITY LOCATIONS(sdes)

See Attached Listing

REPORT OF ANIMALS USED BY	OR UNDER CONTROL O	F RESEARCH FACILITY	(Attach additional sheets if nece:	SSBIY OF USB APHIS FORM 7023A)	
A. Animals Covered By The Animal Welfare Regulations	B. Number of animals being bred. conditioned or held for use in leaching, lesting, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, leaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, expeniments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures printicing pain or distress in these animals and the reasons such drugs were not used must be attached to this report).	F. TOTAL NO. OF ANIMALS (Cals. C + D + E)
4. Dogs	0	0	0	0	0
Animals Covered By The Animals Deng Drod. Conditioned, or held for use in leaching, lessing, experiments, research, or surgery but not yet used for such purposes. A. Dogs		0	27		
6. Guinea Pigs	0 ·	64	38	0	102
7. Hamsters	0	0	0	0	0
8. Rabbits	60	44	37	0	81
9. Non-Human Primates	0	0	0	0	0
10. Sheep	0	0	0	0	0
11. Pigs	9	50	0	0	50
12. Other Farm Animals	•	-	-	-	-
Dairy Cattle	0	9	0	0	9
Opossums 18. Other Ahimais	7	6	0	0	6
White-tailed Dee	0	52		0	52
Mole Rats	450	64	58	0	122
Deer Mice	125	224	0	0	224
ASSURANCE STATEMENTS					

¹⁾ Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and totlowing actual research, teaching, testing, surgery, or experimentation were followed by this research facility.

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL								
(Chief Executive Officer or Legally Responsible Institutional official)								
I certify that the above is true, correct, and complete (7 U.S.C. Section 2143)								
SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL	NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print)	DATE SIGNED						
Franct L. Greger	Janeth. Greger, VP for Research & Gradiate Education	11-14-02						

APHIS FORM 7023 '(AUG 91) (Replaces VS FORM 18-23 (Oct 88), which is obsolete

PART 1 - HEADQUARTERS

²⁾ Each principal investigator has considered alternatives to painful procedures.

³⁾ This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all the exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a binef explanation of the exceptions, as well as the species and number of animals affected.

⁴⁾ The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

APHIS Form 7023 Site List

The following sites have been reported by the facility.

Registration Number:

16-R-0008

Customer Number:

52

Facility:

UNIVERSITY OF CONNECTICUT 352 MANSFIELD ROAD UNIT 2086

STORRS, CT 06269 (203) 486-3337

UNIVERSITY OF CONNECTICUT RESEARCH FOUNDATION BOX U-133 438 WHITNEY ROAD STORRS, CT 06269

additional information

Interagency Report Control No.:

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE 1. CERTIFICATE NUMBER: 16-R-0010 CUSTOMER NUMBER: 467

FORM APPROVED OMB NO. 0579-0036

ANNUAL REPORT OF RESEARCH FACILITY (TYPE OR PRINT)

Alexion Pharmaceuticals, Inc. 352 Knotter Drive Cheshire, CT 06410

Telephone: (203) -272-2596

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary)

FACILITY LOCATIONS (Sites) - See Atached Listing

REPORT OF ANIMALS I	JSED BY OR UNDER O	CONTROL OF RESEAR	CH FACILITY (Attach additiona	I sheets if necessarv or use APHIS Form 7023A)	REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY (Attach additional sheets if necessarv or use APHIS Form 7023A)							
A. Animals Covered By The Animal Welfare Regulations	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not ye	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, a	Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to	F. TOTAL NUMBER OF ANIMALS (COLUMNS C + D + E)							
4. Dogs					0							
5. Cats					0							
6. Guinea Pigs					0							
7. Hamsters					0							
8. Rabbits					0							
9. Non-human Primate				·	0							
10. Sheep					0							
11. Pigs					0							
12. Other Farm Animals					0							
					-							
13. Other Animals					0							
		,		·	·.							

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anestetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and

	CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL (Chief Executive Officer or Legally Responsible Institutional Official)						
SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL	NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print	DATE SIGNED					
David Cin	David Keiser, President and COO	8/20/02					

APHIS FORM 7023 (AUG 91)

(Replaces V6 FORM 18-23 (OCT 88), which is obsolete.

See attached form for

Interagency Report Control No.:

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE 1. CERTIFICATE NUMBER: 16-R-0011

CUSTOMER NUMBER: 43

FORM APPROVED OMB NO. 0579-0036

ANNUAL REPORT OF RESEARCH FACILITY (TYPE OR PRINT)

University Of Hartford 200 Bloomfield Avenue West Hartford, CT 06117

Telephone: (860) -768-4544

FACILITY LOCATIONS (Sites) - See Atached Listing

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary)

Α.	B. Number of	C. Number of	D. Number of animals	E. Number of animals upon which teaching,	F.
Animals Covered By The Animal Welfare Regulations	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not ye	animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain- relieving drugs.	upon which experiments, leaching, research, surgery, or lests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, a	experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, expenments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to	TOTAL NUMBER OF ANIMALS (COLUMNS C + D + E)
4. Dogs	0	0	6	0	6
5. Cats	0	0	0	0	0
6. Guinea Pigs	0	D	0	٥	0
7. Hamsters	0	Ø	G	8	0
8. Rabbits	0	0	٥	0	0
9. Non-human Primate	ð	め	0	D	ව
10. Sheep	0	O	0	0	O
11. Pigs	0	Ö	0	0	ð
12. Other Farm Animals	0	0	6	7	0
13. Other Animals	O	0	Ò	8	0

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anestetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.

OR INSTITUTIONAL OPF

- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and

CERTIFICATI	ON BY	HEAD	QUA	RTERS	RESEA	RCH F	ACILITY	OFFICE	AL
							_		

(Chief Executive Officer or Legally Responsible Institutional Official)

DATE SIGNED

EDWARD T. GRAY, JR, DEAN, COL. OF ARTS & SCIENCES

NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print

12/33/02

APHIS FORM 7023

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 16-R-0015

CUSTOMER NUMBER: 812

FORM APPROVED
OMB NO. 0579-0036\

ANNUAL REPORT OF RESEARCH FACILITY

(TYPE OR PRINT)

Trinity College Trinity College 300 Summit Street Hartford, CT 06106

NOV 22 2002

Telephone: (860) -297-2224

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary)

NO ANIMAS FOR CONTINU PER ACILITY LOCATIONS (Sites) - See Atached Listing

REPORT OF ANIMALS	USED BY OR UNDER C	CONTROL OF RESEAR	CH FACILITY (Attach additiona	al sheets if necessary or use APHIS Form 7023A)	
A. Animals Covered By The Animal Welfare Regulations	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not ye	C. Number of animals upon which leaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, a	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquillizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to	F. TOTAL NUMBER OF ANIMALS (COLUMNS C + D + E)
4. Dogs					
5. Cats					
6. Guinea Pigs	0	0	C	O	C
7. Hamsters					
8. Rabbits					
9. Non-human Primate					
10. Sheep					
11. Pigs					
12. Other Farm Animals			·		
13. Other Animals					
					· ·

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anestetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
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- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL (Chief Executive Officer or Legally Responsible Institutional Official)

SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL

NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print

DATE SIGNED

w. Miller Brown, Dean of the Faculty

See attached form for additional information

Interagency Report Control No.:

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 16-R-0017

CUSTOMER NUMBER: 39

FORM APPROVED OMB NO. 0579-0036

ANNUAL REPORT OF RESEARCH FACILITY

(TYPE OR PRINT)

Connecticut College 270 Mohegan Avenue New London, CT 06320

Telephone: (860) -439-2339

DEC 02 2002

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary)

Psychology Department (Bill Hall) FACILITY LOCATIONS (Sites) - See Atached Listing Connecticut College

REPORT OF ANIMALS USE	D BY OR UNDER C	ONTROL OF RESEAR	CH FACILITY / Attach additiona	I sheets if necessary or use APHIS Form 7023A)	
A. B. Animals Covered By The Animal Welfare Regulations	Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not ye	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, leaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, a	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to	F. TOTAL NUMBER OF ANIMALS (COLUMNS C + D + E)
4. Dogs					
5. Cats					
6. Guinea Pigs					
7. Hamsters					
8. Rabbits					
9. Non-human Primate					
10. Sheep					
11. Pigs					
12. Other Farm Animals					
13. Other Animals		No regulate	d laboratory		
		species		·	·.
White-footed mous	se	84			
(field study)					

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anestetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
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- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and

(Chief Executive C	Officer or Legally Responsible Institutional Official)	
SIGNATURE OF C.E.O. OR INSKITUTIONAL OFFICIAL	NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print	DATE SIGNED
Y/Julan Fous	Dr. Norman Fainstein	11/13/02

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL

See attached form for additional information

Interagency Report Control No.:

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE 1. CERTIFICATE NUMBER: 16-R-0025

> CUSTOMER NUMBER: 44

FORM APPROVED OMB NO. 0579-0036

ANNUAL REPORT OF RESEARCH FACILITY

(TYPE OR PRINT)

University Of Connecticut Health Center 263 Farmington Avenue Farmington, CT 06032

Telephone: (£ (3) -679-2731

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary)

FACILITY LOCATIONS (Sites) - See Atached Listing

A.	B. Number of	C. Number of	D. Number of animals	E. Number of animals upon which teaching,	F.
Animals Covered By The Animal Welfare Regulations	animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not ye	animals upon which leaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain- relieving drugs.	upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, a	experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to	TOTAL NUMBER OF ANIMALS (COLUMNS C+D+E)
4. Dogs	0	0	C	O	O
5. Cats	O	b	29	Ø	29
6. Guinea Pigs	0	0	110	U	110
7. Hamsters	8	0	44	0	44
8. Rabbits	0	0	158	0	158
9. Non-human Primate	0	0	3	0	3
0. Sheep	0	0	0	0	0
1. Pigs	0	U	0	0	0
2. Other Farm Animals	0	0	0	0	O
3. Other Animals	0	0	U	O	0
Gerlils	0	0	20	0	20
Tree	0	0	35	0	36

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anestetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures
- This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL

(Chief Executive Officer or Legally Responsible Institutional Official)

SIGNATURE OF C.E. OFFICIAL

DATE SIGNED

(Replaces VS FORM 18-23 (OCT 88), which is obsolete.

APHIS FORM 7023

See attached form for additional information

Interagency Report Control No.:

This report is required by law (7 USC 2143). Failure to report according to the regulations

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 16-R-0026

CUSTOMER NUMBER: 46

FORM APPROVED OMB NO. 0579-0036

ANNUAL REPORT OF RESEARCH FACILITY

(TYPE OR PRINT)

Quinnipiac University Mt. Carmel Avenue P.O. Box 125 Hamden, CT 06518

Telephone: (203) -582-8958

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary)

Vivarium-Buckman Center

FACILITY LOCATIONS (Sites) - See Atached Listing

A. Animals Covered By The Animal Welfare Regulations	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not ye	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, a	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to	F. TOTAL NUMBER OF ANIMALS (COLUMNS C + D + E)
4. Dogs	0	2	2		
5. Cats	0	4	0		4
6. Guinea Pigs					
7. Hamsters					
8. Rabbits	0	3	1		4
9. Non-human Primate					
10. Sheep	i				
11. Pigs					
12. Other Farm Animals					
3. Other Animals		:			

		:			

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anestetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL
(Chief Executive Officer or Legally Responsible Institutional Official)

SIGNATURE OF C.E.O. OF INSTITUTIONAL OFFICIAL

John L. Lahey, President

DATE SIGNED

10/8/02

APHIS FORM 7623

(Replaces VS FORM 18-23 (OCI 89), which is obsolete

See reverse side for additional Information.

interagency Report Control No 0180-DOA-AN

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. REGISTRATION NO. 16-R-0028 CUSTOMER NO. 35

FORM APPROVED OMB NO. 0579-0036

ANNUAL REPORT OF RESEARCH FACILITY

(TYPE OR PRINT)

2. HEADQUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA, Include Zip Code)

U.S. SURGICAL

150 CLOVER AVENUE

2017

150 GLOVER AVENUE NORWALK, CT 06856 (203) 866-5050 NOV 15 2002

	(=55) 5555
3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, sheets if necessary.)	testing, teaching, or experimentation, or held for these purposes. Attach additional
FACILITY LOCA	TIONS(sites)
See Attached Listing	

A. Animals Covered By The Animal Welfare Regulations	B. Number of animals being bred, - conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report)	F. TOTAL NO. OF ANIMAL: (Cols. C + D + E)
4. Dogs			<u>28</u> 7		287
5. Cats					
6. Guinea Pigs					
7. Hamsters					
8. Rabbits			`		
9. Non-Human Primates					
10. Sheep					<u>:</u>
11. Pigs	,		127		127
12. Other Farm Animals			·		
13. Other Animals					
					r
Total				•	414

Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.

2) Each principal investigator has considered alternatives to painful procedures.

- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the institutional Animal Care and Use Committee (IACUC). A summary of all the exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

SIGNATURE OF OLO SINGTITUTIONAL OFFICIAL NAMES THE OF OLO OF INSTITUTIONAL OFFICIAL CO	
SIGNATURE OF C.E.O. OR INSTITUTION ALD OFFICIAL NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print)	SIGNED
Alan Panzer, President USS	17/02

APHIS FORM 7023 J (AUG 91) (Replaces VS CRM 18-23 (Oct 88), which is obsolete

PART 1 - HEADQUARTERS

United States Surgical Annual Report of Research Facility October 1, 2001 – September 30, 2002

Facility locations:

Site # 1 Surgical Facility 150 Glover Ave Norwalk CT 06856 203-845-1603

Site # 2 Surgical Facility 195 McDermott North Haven CT 16473 203-492-7213 This import is required by law (7 USC 2143). Fallure to report according to the regulations can result in an order to cease and desist and to be subject to penalties as provided for in Section 2150.

See reverse side for additional Information.

Interagency Report Control No 0180-DOA-AN

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. REGISTRATION NO. 16-R-0028 CUSTOMER NO.

FORM APPROVED OMB NO. 0579-0036

ANNUAL REPORT OF RESEARCH FACILITY

(TYPE OR PRINT)

See Attached Listing

 HEADQUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA, Include Zip Code)

U.S. SURGICAL 150 GLOVER AVENUE NORWALK, CT 06856 (203) 866-5050

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, teaching, or experimentation, or held for these purposes. Attach additional sheets if necessary.)

FACILITY LOCATIONS(sites)

A. Animals Covered By The Animal Welfare Regulations	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report)	F. TOTAL NO. OF ANIMALS (Cols. C + D + E)
4. Dogs			<u>28</u> 7		287
5. Cats					
6. Guinea Pigs					
7. Hamsters					
8. Rabbits			`		
9. Non-Human Primates					
10. Sheep					
11. Pigs			127		127 ⁻
12. Other Farm Animals					
13. Other Animals					
					•
Total				'	414

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the institutional Animal Care and Use Committee (IACUC). A summary of all the exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use

especie or armital care and use.		
CERTIFICATION BY	HEADQUARTERS RESEARCH FACILITY OFFICIAL	
(Chief Executive O	fficer or Legally Responsible Institutional official)	
I certify that the ab	ove is true, correct, and complete (7 U.S.C. Section 2143)	
SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL	NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print)	DATE SIGNED
And	Alan Panzer, President USS	11/2/02

APHIS FORM 702

(Replaces VS FORM 18-23 (Oct 88), which is obsolete

PART 1 - HEADQUARTERS

United States Surgical Annual Report of Research Facility October 1, 2001 – September 30, 2002

Facility locations:

Site # 1 Surgical Facility 150 Glover Ave Norwalk CT 06856 203-845-1603

Site # 2 Surgical Facility 195 McDermott North Haven CT 16473 203-492-7213

See reverse side for additional information.

Interagency Report Control No 0180-DOA-AN

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. REGISTRATION NO. 16-R-0028 CUSTOMER NO. 35

FORM APPROVED OMB NO. 0579-0036

ANNUAL REPORT OF RESEARCH FACILITY

(TYPE OR PRINT)

 HEADQUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA, include Zip Code)

U.S. SURGICAL 150 GLOVER AVENUE NORWALK, CT 06856 (203) 866-5050

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, teaching, or experimentation, or held for these purposes. Attach additional sheets if necessary.)

FACILITY LOCATIONS(sites)

See Attached Listing

A. Animals Covered By The Animal Welfare Regulations	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquillzing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report)	F. TOTAL NO. OF ANIMALS (Cols. C + D + E)
4. Dogs			287		287
5. Cats					
6. Guinea Pigs					
7. Hamsters					
8. Rabbits			`		
9. Non-Human Primates					
10. Sheep					
11. Pigs			127		127
12. Other Farm Animals					.
13. Other Animals					
					<u>,</u>
Total					414

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the institutional Animal Care and Use Committee (IACUC). A summary of all the exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

(Chief Executive	BY HEADQUARTERS RESEARCH FACILITY OFFICIAL a Officer or Legally Responsible Institutional official) above is true, correct, and complete (7 U.S.C. Section 2143)	
SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL	NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print)	DATE SIGNED
Ano 2	Alan Panzer, President USS	11/7/02

United States Surgical Annual Report of Research Facility October 1, 2001 – September 30, 2002

Facility locations:

Site # 1 Surgical Facility 150 Glover Ave Norwalk CT 06856 203-845-1603

Site # 2 Surgical Facility 195 McDermott North Haven CT 16473 203-492-7213 UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 16-R-0031
CUSTOMER NUMBER: 56

FORM APPROVED OMB NO. 0579-0036

ANNUAL REPORT OF RESEARCH FACILITY

(TYPE OR PRINT)

Sea Research Foundation, Inc. Mystic Aquarium 55 Coogan Boulevard Mystic, CT 06355

OCT 28 2002

Telephone: (203) -572-5955

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary)

FACILITY LOCATIONS (Sites) - See Atached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY (Attach additional sheets if necessary or use APHIS Form 7023A)						
A. Animals Covered By The Animal Welfare Regulations	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not ye	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, leaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, a	Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to	F. TOTAL NUMBER OF ANIMALS (COLUMNS C+D+E)	
4. Dogs						
5. Cats						
6. Guinea Pigs						
7. Hamsters						
8. Rabbits						
9. Non-human Primate						
10. Sheep						
11. Pigs						
12. Other Farm Animals						
	·					
13. Other Animals —	MARINE	MAMMALS				
ORTHERN FUR SP	AL O	14	0	0	14	
TELLER SEA LI		6	0	0	6	
HARBOR SEAL	0	5	0	0	5	
ASSURANCE STATEMENTS						

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anestetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL
(Chief Executive Officer or Legally Responsible Institutional Official)

SIGNATURE OF C.E. OR INSTITUTIONAL OFFICIAL

NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print

Ŀ

Neal A. Overstrom, President

DATE SIGNED

2500702

APHIS FORM 7023

(Replaces VS FORM 18-23 (OCT 88), which is obsolete.

ee reverse side for additional information Interagency Report Control No. 0180-DOA-AN

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. REGISTRATION NO. 16 -R - 0031

FORM APPROVED OMB NO. 0579-0036

2. HEADQUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA include Zip Codel

Sea Research Foundation, Inc. dba Mystic Aquarium 55 Coogan Blvd. Mystic, CT 06355

CONTINUATION SHEET FOR ANNUAL REPORT OF RESEARCH FACILITY

(TYPE OR PRINT)

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY (Attach adultitional sheets if necessary or use this form.)						
A. Animals Covered By The Animal Welfare Regulations 12. &/OR 13. Other (List by species)	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to this report).	TOTAL NO. OF ANIMALS (Cols. C + D + E)	
CAUF SEA LION	0	4	0	0	4	
CAUF SEA LION BELUGA WHALE	0	4	0	0	4	
				•		
ASSURANCE STATEMENTS						

ASSURANCE STATEMENTS

- 1). Professionally acceptable standards governing the care, treatment, and use of animals, including approriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility
- 2). Each principal investigator has considered alternatives to painful procedures.
- 3). This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report in addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected
- 4). The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTES RESEARCH FACILITY OFFICIAL (Chief Executive Officer or Legally Responsible Institutional Official)

I certify that the above is true, correct, and complete (7 U.S.C. Section 2143)

NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print)

DATE SIGNED 250000

OR INSTITUTIONAL OFFICIAL

Neal A. Overstrom, President

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 16-R-0039

CUSTOMER NUMBER: 61

FORM APPROVED CMB NO. 0579-0036

ANNUAL REPORT OF RESEARCH FACILITY

(TYPE OR PRINT)

C T Agriculture Experiment Station, The 123 Huntington Street Box 1106 New Haven, CT 06504

Telephone: (203) -974-8485

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary)

FACILITY LOCATIONS (Sites) - See Atached Listing

A 1	P Number of	C. Number of	D. Norman of a street	le	l e
A. Animals Covered By The Animal Weifare Regulations	B. Number of animals being bred, conditioned, or held for use in teaching, testing, expeniments, research, or surgery but not ye	number of animals upon which leaching, research, expeniments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which expenments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, a	Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to	F. TOTAL NUMBEF CF ANIMALS (COLUMNS C + D + E)
4. Dogs					
5. Cats					
6. Guinea Pigs		3			3
7. Hamsters	6		134		140
8. Rabbits	2				2
9. Non-human Primate					
IO. Sheep					
11. Pigs					
12. Other Farm Animals					
13. Other Animals					
White-footed m	ouse		250		250
White-tailed d	eer		33		33

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anestetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a binef explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL (Chief Executive Officer or Legally Responsible Institutional Official)

SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL INAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL

NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print

DATE SIGNED

John F. Anderson, Director

11-602

DEC 1.2. 2002 See attached form for additional information

Interagency Report Control No.:

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 16-R-0040

CUSTOMER NUMBER: 10301

FORM APPROVED OMB NO. 0579-0036

ANNUAL REPORT OF RESEARCH FACILITY

(TYPE OR PRINT)

Northwestern C T Community College Park Place East Winsted, CT 06098

Telephone: (860) -738-6483

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary)

Northwestern CT Community College Joyner Learning Center Park Place East Winsted, CT 06098
FACILITY LOCATIONS (Sites) - See Alached Listing

REPORT OF ANIMALS	USED BY OR UNDER C		CH FACILITY (Attach additiona	al sheets if necessary or use APHIS Form 7023A)	,
A. Animals Covered By The Animal Welfare Regulations	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not ye	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, leaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, a	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to	F. TOTAL NUMBER OF ANIMALS (COLUMNS C + D + E)
4. Dogs	_			-	-
5. Cais		3	_	_	3
6. Guinea Pigs	-	a		_	2
7. Hamsters	-			_	
8. Rabbits	_		_	_	1
9. Non-human Primate		_	_		_ ~
10. Sheep	_		-	_	_
11. Pigs		_	_	_	
12. Other Farm Animals	_	_			
13. Other Animals			-	<u>-</u>	
					•

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anestetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and

	ON BY HEADQUARTERS RESEARCH FACILITY OFFICIAL utive Officer or Legally Responsible Institutional Official)	
SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL	Dr. R. Eileen Baccus President	DATE SIGNED

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 16-R-0041

CUSTOMER NUMBER: 14771

DMR NO 0570-005

NOV 25 2002

ANNUAL REPORT OF RESEARCH FACILITY

(TYPE OR FRINT)

Farm Tech 400 Farmington Ave Farmington, CT 06032

Telephone: (860) -674-6318

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3. REPORTING FACELITY (List all forations where administ were housed or used in achiev meanth, testing, or replementation, or held for these purchases. Attach additional sheets if necessary (

FACILITY LOCATIONS (Siles) - See Alached Listing

FarmTech Inc. 400 Farmington Avenue Farmington, CT 06032

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY (Attach additional sheets are or use APHIS Form 7023A.) C. Number of D. Mumber of promots E. Humber of ammals upon which teaching F. B. Number of -อกเกาไร บวกก upen which un ad elemine exponments, rescarch, surgery or tests were which leaching. bred primers, tenting conducted involving accompanying lean or distress. TOTAL NUMBER conditioned, or Anamais Covered esanth. research, purgary, or is the animals and for which the use of appropriate OF ANIMALS By The Animal experiencets, or tests ware conducted neld for use m angsineko, analgesio, or tranquitaing drugs would Violtaro Regulations teaching, leals were madring have adversely affected the procedures, results, or (COLUMNS interpretation of the leaching, research, experiments, testing. conducted espectations have taken the C+D+E) surgery, or tests. (An explanation of the precedures ടെമ്മനാനമ. maniolity on distress to the animals research, or chia, cistiass, cr and for which producing pain or distress in these animals and the singery builded ye use of painuniversité anesthélic, à masons such trugs were not used must be adjucted to elimong drugs 0 4. Dogs 0 4 0 4 5 Cats 0 0 0 0 0 0 0 0 0 0 5. Gumes Pigs 0 0 0 0 0 7. Hametera 30 0 0 0 30 8. Rabbits 0 2 90 0 92 9. Non-human Primate 0 0 0 0 0 10 Sheep 6 0 0 0 6 11. Pigs 0 0 0 0 0 12. Other Farm Animals 0 0 0 0 0 13. Other Animals

ASSURANCE STATEMENTS

- 1) Professorally acceptable elementary governing the care, treatment, and use of enniets, including appropriate use of ensistetic, analysisic, and tranquisting drugs, prior to, during, and following actual research, leaching, testing, surgery, or experimentation vera followed by this research facility.
- (4) Each principal investigator has considered atternatives to painful procedurate
- 3) This facety is adhering to the standards and regulations under the Art, and thes required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the institutional Animal Care and Use Cuminities (ACUC). A summary of all such exceptions is attached to this animal report, in addition to dentaying the IACUC approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of unimals affected.
- 4) The lift indicing variational for this research finding bear anymoprises authority in one ineither provision of assertable velocities velocities and to measure the analysis by in mail aspects of inmail and sufficient

	BY HEADQUARTERS RESEARCH FACILITY OFFICIAL e Officer of Legally Responsible Institutional Official)	
SIGNATURED & C.E.F. OR HISTITUS HALL DEFICAL	NAME & TITLE OF CIE.O. OR INSTITUTIONAL OFFICIAL IT Type or Prof.	DATE STORED
MM	Shepard M. Goldberg CEO	11/5/02
APTHOROUGH 1923 (Hoppage VS-MORM 18-23 (CCT 95) After the absolu	ute.	

See attached form for

Interagency Report Control No

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. CERTIFICATE NUMBER: 16-R-0042

CUSTOMER NUMBER: 15694

FORM APPROVED OMB NO. 0579-0036

ANNUAL REPORT OF RESEARCH FACILITY (TYPE OR PRINT)

American Integrated Biologics Inc P O Box 252

East Woodstock, CT 06244

Telephone: (860) -963-2612

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary)

FACILITY LOCATIONS (Sites) - See Atached Listing

Lowns Farm, Rt 96, Dayles, MA

REPORT OF ANIMALS	USED BY OR UNDER (CONTROL OF RESEAR	CH FACILITY! Attach additions	al sheets if necessary or use APHIS Form 7023A)	
A. Animals Covered By The Animal Welfare Regulations	B. Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not ye	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, a	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reasons such drugs were not used must be attached to	F. TOTAL NUMBER OF ANIMALS (COLUMNS C + D + E)
4. Dogs					
5. Cats					
6. Guinea Pigs					
7. Hamsters					
8. Rabbits					
9. Non-human Primate					
10. Sheep					
11. Pigs	5	0	0	0	0
12. Other Farm Animals					
13. Other Animals					

ASSURANCE STATEMENTS

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CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL (Chief Executive Officer or Legally Responsible Institutional Official)

SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL

NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print

Or. Jearey P. Erickson, President and Coo

DATE SIGNED